



U.S. Department of Justice

Criminal Division

Fraud Section

Washington, D.C. 20530

November 30, 2021

Via ECF

Honorable Loretta A. Preska  
United States District Judge  
United States Courthouse  
500 Pearl Street, Room 2220  
New York, New York 10007

Re: SEC v. Keith Berman, et al., No. 1:20-cv-10658 (LAP)

Dear Judge Preska:

We write on behalf of the United States of America (the "Government") to notify Your Honor of the status of defendant Keith Berman's criminal case pursuant to Your Honor's June 8, 2021 order (ECF 18). Mr. Berman's criminal case is scheduled to proceed to trial on January 4, 2022, and the Government expects the trial will, in fact, begin on that date. Pursuant to Your Honor's June 8, 2021 order, the Government will provide its next update by letter by March 30, 2022.

Respectfully submitted,

Joseph Beemsterboer  
Acting Chief, Fraud Section

A handwritten signature in black ink, appearing to read "Christopher Fenton".

Christopher Fenton  
Trial Attorney

Justin Weitz  
Principal Assistant Deputy Chief

SO ORDERED

A handwritten signature in black ink, appearing to read "Loretta A. Preska".

LORETTA A. PRESKA  
UNITED STATES DISTRICT JUDGE

12/1/21